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U.S. PTO
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22387

041304

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No.	3802-088-27 CONT	
		First Inventor or Application Identifier		DANIEL R. HENDERSON
		Title	TISSUE SPECIFIC ADENOVIRAL VECTORS	

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450		
1. <input type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> <input checked="" type="checkbox"/> Applicant claims small entity status.		ACCOMPANYING DOCUMENTS		
2. <input checked="" type="checkbox"/> Specification Total Pages 127		6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 44		7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)		
4. <input type="checkbox"/> Oath or Declaration Total Pages <ol style="list-style-type: none"> <input type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation/divisional with box 16 completed)</small> 		8. <input type="checkbox"/> English Translation Document (if applicable)		
5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small>		9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: 09/732,169		10. <input checked="" type="checkbox"/> Preliminary Amendment 11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 12. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 13. <input type="checkbox"/> Request for Priority 14. <input checked="" type="checkbox"/> List of Inventors' Names and Addresses 15. <input type="checkbox"/> Other:		
Prior application information: Examiner: Brian A. Whiteman		Group Art Unit: 1635		
17. Amend the specification by inserting before the first line the sentence: <input checked="" type="checkbox"/> This application is a <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. 09/732,169, filed December 6, 2000, now U.S. Patent Application Publication No. 2002/0068049 A1 published June 6, 2002, which is a Continuation of U.S. Patent Application No. 09/151,376, filed September 10, 1998, now U.S. Patent No. 6,676,935, issued January 13, 2004, which is a Continuation-In-Part of U.S. Patent Application No. 08/669,753, filed June 26, 1996, now U.S. Patent No. 5,871,726, issued February 16, 1999, which is a Continuation-In-Part of U.S. Patent Application No. 08/495,034, filed June 27, 1995, now U.S. Patent No. 5,698,443, issued December 16, 1997.				
<input type="checkbox"/> This application claims priority of provisional application Serial No. Filed				
18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085				

Name	Steven B. Kelber	Registration No.	30,073		
Signature		Date	4/13/04	Telephone	202-861-3900
Name	Sue Jensen, M.D.	Registration No.	55,775		



041304

FEE TRANSMITTAL										Docket No.		3802-088-27 CONT			
										Serial No.		New Application			
										Filing Date		Herewith			
										Inventor(s)		Daniel R. HENDERSON, et al.			
										Group Art Unit					
TOTAL AMOUNT OF PAYMENT				\$450.00		Examiner									
1. <input type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.										FEE CALCULATION (continued)					
										3. ADDITIONAL FEES					
										Large Entity		Small Entity		Fee Description	
2. <input type="checkbox"/> Check enclosed.										Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid	
FEE CALCULATION										1051	130	2051	65	Surcharge-late filing fee or oath	
1. BASIC FILING FEE										1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
Large Entity		Small Entity		Fee Description				1053	130	1053	130	Non-English Specification			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid	1251	110	2251	55	1-mo. ext. of time		
1001	770	2001	385	Utility filing fee				\$385	1252	420	2252	210	2-mo. ext. of time		
1002	340	2002	170	Design filing fee					1253	950	2253	475	3-mo. ext. of time		
1003	520	2003	260	Plant filing fee					1254	1480	2254	740	4-mo. ext. of time		
1004	770	2004	385	Reissue filing fee					1255	2010	2255	1005	5-mo. ext. of time		
1005	160	2005	80	Provisional filing fee					1401	330	2401	165	Notice of Appeal		
SUBTOTAL (1)								\$385.00	1402	330	2402	165	Appeal Brief		
2. EXTRA CLAIM FEES									1403	290	2403	145	Request for Oral Hearing		
tot. claims	6	-	20*	=	0	x	\$9	=	0	1501	1330	2501	665	Utility/Reissue Issue Fee	
ind. claims	2	-	3*	=	0	x	\$43	=	0	1502	480	2502	240	Design Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims					\$145	=			1504	300	1504	300	Publication Fee		
Large Entity		Small Entity		Fee Description				8001	3	8001	3	Advance Copy of Patent			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					1460	130	1460	130	Petitions to the Commissioner			
1202	18	2202	9	Claims in excess of 20				1806	180	1806	180	IDS Submission			
1201	86	2201	43	Independent claims in excess of 3				8021	40	8021	40	Assignment recordation			
1203	290	2203	145	Multiple dependent claim, if not paid				1801	770	2801	385	For Filing RCE			
1204	84	2204	43	*Reissue independent claims over original patent				1814	110	2814	55	Terminal Disclaimer			
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):							
SUBTOTAL (2)								\$0.00							
* or number previously paid, if greater; For Reissues, see above									SUBTOTAL (3)				\$65.00		

Name	Steven B. Kelber			Registration No.		30,073		
Signature				Date	4/13/04		Telephone	202-861-3900
Name	Sue Jensen, M.D.			Registration No.		55,775		

DOCKET NO. 3802-088-27 CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Daniel R. HENDERSON, et al. ART UNIT:
SERIAL NO.: New Application EXAMINER:
FILING DATE: Herewith
FOR: TISSUE SPECIFIC ADENOVIRAL VECTORS

LIST OF INVENTORS' NAMES AND ADDRESSES

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SIR:

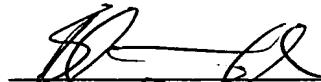
Listed below are the names and addresses of the inventors for the above-identified patent application.

- 1) Daniel R. Henderson
Palo Alto, California
- 2) Eric R. Schuur
Palo Alto, California

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

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